

Only the German version of these Regulations is to be considered legally binding, not the English translation thereof.

University of Leipzig
Faculty of Life Sciences

**Regulations for the Degree of Doctorate
at the Faculty of Life Sciences
at the University of Leipzig¹**

published on September 30th, 2019

Pursuant to § 40 sec. 5 and § 88 sec. 1 of the Act Governing Higher Education in the Free State of Saxony (Sächsisches Hochschulfreiheitsgesetz – SächsHSFG) as published on January 15th, 2013 (Law and Ordinance Bulletin SächsGVBl. p. 3 and amended by article 2 sec. 27 by the law from April 5th, 2019 (Law and Ordinance Bulletin SächsGVBl. p. 245), the Board of the Faculty of Life Sciences at the University of Leipzig has adopted the following Regulations for the Degree of Doctorate.

Table of content:

Preamble

- § 1 Right to award doctoral degrees
- § 2 Bodies involved in the examination
- § 3 Basis of the examination
- § 4 Application as research student
- § 5 Requirements for examination entry
- § 6 Aptitude-test procedure
- § 7 Preliminary examinations
- § 8 Application for examination entry
- § 9 Requirements of a thesis (dissertation)
- § 10 Examination process
- § 11 Reviewers
- § 12 Reviews
- § 13 Acceptance of the thesis
- § 14 Defence
- § 15 Evaluation

¹ While striving to use gender inclusive language, any remaining masculine grammatical forms used in this document denote any gender.

- § 16 Conferment of the degree
- § 17 Legal deposit and publication of the thesis
- § 18 Non-completion of the doctoral examination,
revocation of the doctorate degree
- § 19 Right to appeal
- § 20 Doctoral record
- § 21 Honorary Doctorates
- § 22 Anniversary of the doctorate
- § 23 Transitional provisions
- § 24 Coming into effect

Attachment 1: Thesis cover page

Attachment 2 Cover page for legal deposit

Attachment 3 Sample of Certificate

Preamble

Guidelines for Good Scientific Practice

- (1) Junior researchers complete their studies with a written thesis. Besides obtaining academic knowledge and expertise in their respective scientific fields, in the course of their studies junior researchers shall be assisted to develop ethical values and to uphold these in their academic work, to deal responsibly with the results of their research, and to cooperate with fellow scientists.
- (2) Junior researchers are entitled to obtain academic supervision, advice and support by the Principal Investigator of their Research Group.
- (3) Junior researchers must:
 - keep a full record and documentation of their research and results
 - carry out research in a responsible way and cooperate with fellow researchers
 - regularly report on the progress of their research
 - attend internal training within the Research Group
 - be willing to do some routine work within their Research Group
- (4) In all academic matters, such as research objectives, publication or utilization of research results, all members of a Research Group are expected to follow the instructions of the Principal Investigator.

§ 1

Right to award doctoral degrees

- (1) On the basis of statutory examination procedures and on behalf of the University of Leipzig, the Faculty of Life Sciences of the University of Leipzig holds the right to award the following doctoral degrees:
 - doctor rerum naturalium (Dr. rer. nat.)
 - doctor philosophiae (Dr. phil.)
 - doctor paedagogicae (Dr. paed.)
- (2) A doctoral degree can also be awarded to research students registered in interfaculty research degree programmes. Requirements for admission to such programmes are laid out in additional regulations by the participating faculties. In all other matters, research students registered in these programmes are on a par with all other PhD research students.
- (3) In agreement with faculties or universities outside Germany, the Faculty is authorized to award bi-national doctoral degrees on the basis of a joint supervision of a research student. In such case the Faculty and its accredited partner institution need to have signed a General Agreement establishing the terms and conditions of the joint programme. The Faculty Board (Fakultätsrat) must approve such a General Agreement. It regulates the supervision of research students, examinations and grading, the implementation of the doctorate process as well as accruing costs. Bi-national doctorates in conjunction with faculties or universities outside Germany are, if not otherwise noted, subject to these Regulations for the Degree of Doctorate.
- (4) The Faculty can establish a doctoral programme for the degree of “Doctor of Philosophy (Ph.D.)”. In this doctoral programme, “Doctor of Philosophy (Ph.D.)” is the only awarded degree. A set of regulations has to be laid out for such a doctoral programme.
- (5) The Faculty awards honorary doctoral degrees in accordance with § 22 (doctor honoris causa). In such cases, “h.c.” is added to the degree title.
- (6) The Faculty awards the degree of doctorate specifying the scientific field. This field must belong to the Faculty’s scientific disciplines and normally coincides with the academic study courses.
- (7) Research students for a doctorate in pharmacy with a testified enrolment on the doctoral records before July 1st, 2019 are entitled to complete their doctorate according to these Regulations.

- (8) It is not possible to acquire multiple doctoral degrees with the same denomination.

§ 2

Bodies involved in the examination

- (1) The Faculty Board conducts the examination. It takes all final decisions regarding the doctoral examination. Faculty professors who are not members of the Faculty Board may participate and cast a vote in matters pertaining to the doctoral examination.
- (2) For each research student, a Degree Committee qualified in the subject area is appointed by the Faculty Board and charged with managing the doctoral examination. It consists of four members and a Chair. All members are usually professors, with a maximum of one professor from outside the faculty. The Degree Committee has a quorum when more than half of its members are present and of these, at least three are professors of the Faculty. The Degree Committee takes decisions with a majority of votes of the members present.
- (3) In bi-national doctorates, the Degree Committee is composed of an equal number of members from both universities, with the exception of the Chair.
- (4) When a doctorate is pursued in cooperation with a university of applied sciences (Fachhochschule), one member of the Degree Committee must be a professor from that partner institution.
- (5) Consultations between Faculty Board and Degree Committee on doctorate matters are not open to the public, with the exception of hearings of the PhD student himself. The members of both committees are bound to handle all matters in a confidential way. The Chair shall enforce confidentiality for any member who is not a public employee.
- (6) All decisions are communicated to the research student in writing. Negative decisions must be substantiated in writing and include an advice on legal remedies.

§ 3

Basis of the examination

- (1) A doctoral degree is awarded on the basis of a successfully completed scientific thesis (dissertation) that enhances the scientific state of knowledge of an academic field and after a defence held in public.
- (2) The thesis must consist of the research student's own performance.
- (3) Ensuing doctorates cannot take into account research achievements pertaining to a previous doctorate.

§ 4

Application as research student

- (1) The faculty has a register of all admitted research students. Research students must submit an application to be admitted. In the application, the research student testifies his or her intention to pursue a doctorate at the Faculty. The application must be submitted at least two years before starting the examination entry. Any exceptions are subject to approval of the Faculty Board.
- (2) The application must consist of:
 1. The prospective title of the thesis
 2. A signed confirmation of a professor from the faculty to act as supervisor of the research student; bi-national doctorates require a confirmation of one professor from the Faculty and one from the partner university
 3. The research student's confirmation to comply to the Regulations for the Degree of Doctorate and the principles of scientific best practice described in the preamble
 4. Evidence of proficiency in German or English (Level B2 of the "Common European Framework of Reference for Languages: Learning, Teaching, Assessment"), if German is not the research student's native language (in exceptional cases, evidence of language proficiency can be provided during the semester following the application)

Research students who wish to pursue a doctorate in an inter-faculty

doctoral programme must add a copy of their registration for the programme. Research students who wish to pursue a bi-national doctorate are additionally required to provide evidence of their sound knowledge of English and their basic knowledge and use of the languages of both countries involved.

- (3) The Faculty Board decides if an additional qualifying examination is required.
- (4) An application under section 2 is considered provisional and temporary, even after appointment of the supervisor. A final admission may be conditional upon additional studies or examinations pursuant to § 7. A research student must meet these requirements within the period of one year or, in case of more extensive requirements, within the respective standard study period. A research student's application has to be handled within two months of submission. The admission becomes final once the research student has met all requirements, such as evidence of language proficiency, qualifying examinations and entrance requirements.

Any requirements are communicated to the research student in writing.

- (5) Research students enrolled in a Graduate Programme are considered admitted as PhD candidates. They need to present their admission to the Graduate Programme and the confirmation by the Degree Committee (Graduiertenkommission). An admission according to sec. 1 is not necessary in this case. Still, the regulations of § 5 sec. 3 in combination with § 6 remain valid.

§ 5

Requirements for examination entry

- (1) For examination entry, a research student has:
 1. obtained a university degree at the level of Diplom, Master or Magister or taken a state examination (Staatsprüfung) in an area relevant to the field of the thesis;
 2. been registered as research student on the faculty's registry;
 3. submitted a written thesis on an academic topic pursuant to § 9 and a professor from the faculty has officially agreed to review it;
 4. not failed any examination for the doctorate in the past and is cur-

- rently not undergoing such an examination;
5. requested a police clearance certificate (Führungszeugnis) in accordance with § 30 sec. 5 of the Federal Central Criminal Register Act (Bundeszentralregistergesetz) addressed to the Deanery of the Faculty of Life Sciences of the University of Leipzig.
 6. not proved unworthy of holding the title of a doctor.

Exemptions to 1. lie with the Faculty Board.

- (2) If the doctorate is pursued in cooperation with a university of applied sciences (Fachhochschule), supervision is carried out jointly by one professor of the University of Leipzig and one professor of the university of applied sciences, or supervision is carried by a professor of the University of Leipzig alone.
- (3) Research students holding only a Bachelor's degree can apply for a doctorate without higher degree by going through the aptitude test procedure as described in §6.
- (4) The Faculty Board shall decide whether exams and degrees from universities outside of Germany shall be accepted in accordance with a Statement of Comparability. European degrees of Master or Diplom are equivalent to German degrees. In cases of doubt, an official recommendation shall be requested from the Standing Conference of the Ministers of Education and Cultural Affairs of the Länder (Ständige Konferenz der Kultusminister der Länder). If a German or foreign applicant holds a foreign-earned academic degree that entitles him or her to pursue a doctorate, his or her foreign degree shall be considered equivalent to a German academic degree.

§ 6

Aptitude-test procedure

- (1) In order to promote highly talented junior researchers, an applicant from a university or a university of applied sciences may be admitted if he/she has obtained a Bachelor's degree in an area relevant to the field of the thesis and has performed above average. In such cases, admission is conditional upon an aptitude test. Candidates need to prove that they possess the knowledge and academic achievements that warrant the assumption that they will successfully complete the doctorate.
- (2) To enter the aptitude test, a candidate must have prepared and complet-

ed all modules required and have earned a total of 60 credit points in a Master's degree programme for an area pertaining to the field of the thesis with a grade of 'B' or higher. Credit points earned in a graduate programme that was acknowledged by the Faculty Board may be counted as well. During this preparation phase, registration as the research student is conditional.

- (3) The aptitude for a doctorate at the Faculty of Life Sciences is assessed by the Faculty Board.
- (4) The Faculty and a university of applied sciences can jointly conduct the aptitude assessment and can agree upon a joint supervision of the doctorate.
- (5) The aptitude test corresponds to the qualifying examinations as described in § 7 sec. 3 and sec. 4.

§ 7

Preliminary examinations

- (1) Applicants who lack a university degree in the subject area of the envisaged thesis as set out in § 5 sec. 1, must take preliminary examinations, the scope of which is determined by the Faculty Board. Exceptions are permitted as laid out in section 2.
- (2) Applicants may be exempted from preliminary examinations if they have obtained a university degree in a contiguous subject area. Applications for exemption must be submitted to the Dean of the Faculty in writing; approval lies with the Faculty Board.
- (3) The preliminary examination consists of key examinations in the subject area students normally take before entering the envisaged doctoral programme. Examinations cover a maximum of five subjects of the degree programme. Any exams taken previously can be counted.
- (4) Successful preliminary examinations require that each single examination has is passed. An applicant may apply for a one-time re-sit of failed examinations during the preliminary examination proceedings.

§ 8

Application for examination entry

- (1) A written application for examination entry, the degree for which examination is sought as well as the subject area of the thesis shall be submitted to the Dean of the Faculty. The application must include the following:
 1. A candidate must submit three hardbound copies of his/her thesis pursuant to § 9 sec. 2 as well as 20 copies of the abstract of his/her thesis (summary of objectives, results and conclusions) in German or English. One copy of the abstract must be signed by the supervisor for approval. A candidate may be required to provide additional copies of the thesis if additional (more than two) Reviewers are appointed at any stage of the examination.
 2. A candidate must submit a tabular *curriculum vitae* including personal background and academic history, and also a list of all passed and failed academic and state examinations.
 3. A candidate is required to submit a list of all his/her academic publications and lectures.
 4. A candidate must provide evidence of 10 credit points received for further academic qualification; approval of these credit points lies with the Faculty Board.
 5. The application must include suggestions for potential Reviewers; however, these suggestions are not binding.
 6. A candidate must provide certified evidence of having met the requirements prescribed in §5 for examination entry and in particular give evidence of the degree obtained in the subject area of the thesis as well as additional or other academic examinations and, if required, approval notifications pursuant to §4 sec.3, §6 and §7. For degrees obtained outside Germany, the candidate must provide certified copies of the original degree certificates and of the German translations thereof. Holding degrees obtained outside Germany requires the permission of the responsible ministry. In such cases, the candidate is required to submit such permission. This applies to candidates of German and non-German nationality as well as to stateless persons with permanent residence in Germany.
 7. A candidate must provide a police clearance certificate pursuant to §5 sec.1 no.5.
 8. In case of bi-national examination, the candidate must choose at which university the examination shall be done. Only justified, exceptional cases allow a candidate to do part of the examinations at

- the foreign partner university.
9. The application must include a declaration stating that the candidate is aware of and accepts these Regulations for the Degree of Doctorate.
- (2) The candidate must add to the examination entry documents the following declaration:
1. That the thesis submitted is the candidate's own work, expressed in the candidate's own words and incorporating his/her own ideas and judgements; that he/she has made use of no other resources than those stated and that direct or indirect quotations from the work of another have been clearly identified as such;
 2. A list of persons that provided the candidate with support for the selection and evaluation of the material for his/her thesis, and for drafting the thesis; in case of a cumulative thesis, a list on which the co-authors clearly state their share (nature and scope of their contributions) in the papers included in the thesis.
 3. That no persons other than those stated under 2. have supported and contributed to drafting the thesis; in particular, that no additional support was sought from an advisor (i.e. dissertation writing service), and that no third party has received direct or indirect financial benefits in goods and services for work that stands in relation to the contents presented in the thesis;
 4. That the thesis has not been submitted, in an equal or similar form, for examination as a doctorate degree or any other degree at another academic institution, and it has not been published;
 5. If applicable, a candidate's previous, unsuccessful examinations for a doctorate degree giving place, time, topic and outcome of the examination.
- (3) The declarations required in section 1 and 2 must be submitted in writing; any attached, official documents must be certified.
- (4) Date of receipt will be the date by which the Deanery of the Faculty will have received all documents required.
- (5) A candidate has the right to withdraw an application as long as the examination entry has not been confirmed pursuant to § 10; in this case the candidate is considered as if he/she never submitted an application at all.

§ 9

Requirements of a thesis

- (1) The thesis must consist of the candidate's own account of his/her investigations and demonstrates the candidate's ability to undertake independent research. The thesis must point out how its findings advance the subject area, including its theories and methods.
- (2) The thesis must be written in German and/or English. The abstract shall be written in both German and English. For bi-national doctorates, English is mandatory.
- (3) The thesis can be a monograph or a cumulative thesis with a summary and an introductory chapter indicating the connection of the papers. At the time of submission of the thesis, the scientific papers can be published ones, printed or submitted ones. At least two papers must have been accepted for publication in journals with a review system. For work done jointly with others, the candidate's own personal share in the research and the share of the co-authors must be clearly stated pursuant to § 8 sec. 2 no. 2.
- (4) In addition to the text body, the table of contents and a bibliography, the bound thesis shall contain a title page as in the Appendix of these regulations, the candidate's scientific curriculum, a list of his or her academic publications and lectures, bibliographic references for the thesis, and a signed declaration that the findings presented in the thesis are the candidate's own findings. A cumulative thesis requires an additional declaration stating the share of the co-authors in accordance with § 8 sec.2 no. 2; an additional bibliography is not required. An electronic copy has to be submitted, too.

§ 10

Examination process

- (1) The Faculty Board opens the examination process after assessing the candidate's complete application according to § 8, and after verifying that all requirements for the examination entry have been met.
- (2) At the beginning of the examination process, the Reviewers for the thesis are appointed.

- (3) The Faculty Board may ask to revise of the title and/or abstract of a thesis. In this case, the examination process is conditional upon the amendment, or the decision to enter the examination process may be taken after submission of the amended versions. In justified, exceptional cases, the Faculty Board may require adjustments even after entry to the examination process. The Deanery will verify that the adjustments have been implemented.
- (4) The examination process shall start within one month of submission of the examination entry application, unless an extension of this deadline is conceded pursuant to section 3.
- (5) A candidate will be notified by the Deanery regarding decisions concerning examination entry, non-acceptance of entry, appointment of reviewers and, if necessary, additional documents to be submitted within 14 days from the decision. Non-acceptance must be justified in writing and submitted along with instructions on the right to appeal.
- (6) If examination entry is denied, the application and one copy of the thesis remain with the Deanery. All other documents submitted by the candidate shall be returned.

§ 11

Reviewers

- (1) A thesis must be reviewed by at least two professors from the subject area of the thesis, one of whom is a full member of the Faculty of Life Sciences. One reviewer must be a full professor appointed according to §§ 60 and 62 SächsHSFG. Two of the reviewers must be full professors of German or foreign universities or colleges. For a cumulative thesis, no co-author of the thesis can act as reviewer, with the exception of the supervisor of the thesis, who can. Additional reviewers may be professors of universities of applied sciences or junior professors or must have a scientific record that is at least equivalent to the habilitation level.
- (2) A list of accredited scientists with a record equivalent to habilitation, and who can act as reviewers is kept in the Deanery of the Faculty. The Faculty Board decides about enlisting reviewer candidates.
- (3) In case of joint doctoral examinations, at least one professor from a university of applied sciences has to be appointed as reviewer.

- (4) In case of bi-national doctorates, at least one professor from the host university has to be appointed as reviewer.

§ 12

Reviews

- (1) The Head of the Examination Committee seeks to obtain written reviews from the reviewers.
- (2) The reviews should include a recommendation to either accept or reject the thesis or to accept it on condition of adjustments. If the thesis can be recommended for acceptance, it shall be evaluated according to §16 sec. 5.
- (3) The reviews are based on academic knowledge and expertise in the subject area of the thesis. This expertise has a binding effect towards all bodies involved in the examination.
- (4) Written reviews should be submitted within six weeks.

§ 13

Acceptance of the thesis

- (1) The thesis shall be available for inspection between examination entry and defence. The members of the Faculty Board, of the Degree Committee as well as the candidate have the right to read the reviews and to see the recommended grade within two weeks of the submission of the Reviews.
- (2) If all reviewers recommend the acceptance of the thesis and no objections are made during the inspection period, a date for the defence of the thesis shall be set.
- (3) As soon as a reviewer recommends rejecting a thesis, the Faculty Board decides, if necessary, upon statement by the Chair of the Degree Committee, whether to accept or reject the thesis or whether to seek to obtain additional reviews. Additional reviews are subject to §§ 11 and 12. The candidate shall be notified of this decision.
- (4) If acceptance has been made conditional upon adjustments according to

§10 sec.3 and § 12 sec. 2, the Chair of the Degree Committee shall ascertain whether the adjustments have been made within the deadline. All adjustments must have been completed before the defence. If any adjustments are missing, or have not been made within the deadline, the examination is considered terminated and unsuccessful, unless a deadline extension has been granted.

- (5) The candidate shall be notified of the decision on the acceptance or rejection of the thesis within a week after the decision.
- (6) Should the University of Leipzig reject a thesis, the candidate is permitted to re-present his or her thesis in a revised form no earlier than six months and no later than one year after the date of rejection. Re-submission is permitted only once and is subject to all formal procedures prescribed in these regulations. Exceptions lie with the Faculty Board. The Faculty Board may appoint the same Degree Committee as in the previous examination process.
- (7) If an amended thesis is not re-submitted within one year or within the deadline extension pursuant to section 6, the examination is considered unsuccessfully terminated.

§ 14

Defence

- (1) The defence of the thesis is public and consists of a presentation (not longer than 30 minutes) and a discussion (at least 30 minutes, not longer than 45 minutes).
- (2) The candidate has to present the results of his or her research described in the thesis and then answer questions from the audience. The discussion will be centred on the thesis.
- (3) The defence will be conducted in German or English.
- (4) The date for the defence shall be set by the Chair of the Degree Committee or by a professor appointed by the Dean as substitute and agreed upon among the members of the Degree Committee no later than six weeks after the acceptance of the thesis. The date of the defence shall be communicated to the candidate at least two weeks prior to the event.
- (5) The date of the defence shall be announced to the university public by the Chair of the Degree Committee two weeks prior to the defence.

- (6) The defence can take place at the date and time that has been set if no evidence of temporary ill health (physical or mental) is provided by the candidate in the presence of the majority of the members of the Degree Committee.
- (7) The defence is chaired by the Chair of the Degree Committee or by a professor appointed by the Chair. The Chair
 - introduces the members of the Degree Committee;
 - introduces the candidate;
 - may read out major parts of the Reviews of the Reviewers;
 - rejects questions outside the subject area of the thesis.

§ 15

Evaluation

- (1) Immediately after the defence the Degree Committee shall assess in a private consultation whether the candidate has passed or not and the grades pursuant to section 4. If reviewers are present they may provide advice to the Committee. The Degree Committees shall recommend a final grade to the Faculty Board. Upon permission by the candidate, the result of the defence as well as the recommended grade can be publicly announced.
- (2) A candidate who fails the defence, may once apply to re-enter for the defence, with the second try taking place no earlier than three months and no later than six months after the date of the first defence.
- (3) A defence is considered irrevocably unsuccessful and the examination is deemed unsuccessfully terminated if:
 - the Dean of the Faculty has not received the candidate's written application to repeat the defence within four weeks of the date of the failed defence;
 - the candidate due to reasons he or she is responsible for fails to repeat the defence within the period prescribed in section 2;
 - the candidate has fails the second defence.

(4) The following grades can be awarded for examinations:

magna cum laude	-	very good	-	1.0 or 1.3
cum laude	-	good	-	1.7; 2.0 or 2.3
rite	-	sufficient	-	2.7, 3.0 or 3.3
non sufficit	-	insufficient	-	5.0

(5) The final examination grade is the arithmetic average of the grades from

- the review by reviewer 1
- the review by reviewer 2
- the defence.

If there are more than two reviews, the arithmetic average of the grades of all reviews is included into the final grade, with reviews being weighed twice, and the defence being weighed once. In case one reviewer grades the thesis as "non sufficit" in his or her review, grade 5 is used to calculate the arithmetic average. The rounded arithmetic mean of the individual grades leads to the following overall grades:

summa cum laude	-	1.00
magna cum laude	-	≥ 1.0 -1.5
cum laude	-	> 1.5 -2.5
rite	-	> 2.5

"Summa cum laude" can be awarded only if the arithmetic average of all single grades is 1.00 and the Degree Committee's majority recommends this to the Faculty Board. All members of the Degree Committee present must sign the minutes of the examination.

(6) The decision on the final grade lies with the Faculty Board.

§ 16

Conferment of the degree

- (1) The decision to confer the degree of doctorate lies with the Faculty Board. The decision shall be taken at the Faculty Board meeting following the defence. The candidate shall be notified of the decision in writing.
- (2) It is not permitted to suspend the conferment on grounds of further requirements or conditions.

- (3) A doctorate certificate shall be handed out to the candidate once he or she proves to have deposited the required number of legal copies of the thesis in the Library of the University of Leipzig (UB). The certificate shall be issued by the Faculty in accordance with Appendix 3 and is then handed out to each candidate who has been awarded a degree.
- (4) Upon receipt of the doctorate certificate, a candidate has the right to use the title of Doctor.

§ 17

Legal deposit and publication of the thesis

- (1) A successful thesis is deemed appropriately published when the required copies as specified in section 3 have been deposited free of charge in the Library of the University of Leipzig (UB).
- (2) Any material that has been included in the thesis for examination, such as images, maps, disks etc. are considered part of the thesis and must thus be part of the legal deposit.
- (3) Four printed copies and one electronic version of the thesis shall be deposited. Transfer of rights and data format shall be agreed upon with the Library of the University of Leipzig (UB).
- (4) The copies of must be deposited at the University Library (UB) within six months of the announcement to award the doctorate. A three-month extension to this deadline may be given upon justified and written request. The UB issues an acknowledgement of receipt that is to be handed in to the Deanery of the Faculty immediately.
- (5) If the legal deposits of the thesis are not supplied in due time, the doctoral examinations shall be considered null and void and without completion.

§ 18

Non-completion of the doctoral examination, revocation of the doctorate degree

- (1) Any merits obtained in the doctoral examination may be declared void and the doctorate not completed, or the degree of doctorate may be revoked if the following becomes known:

1. Essential prerequisites for admission to the doctoral examination were not fulfilled and admission was wrongly gained by the candidate
 2. Merits and work was obtained in a dishonest way, in particular if additional support has been accepted from an advisor or a thesis writing service
 3. The doctoral candidate or the holder of a certificate is unworthy of holding the grade of a doctor.
- (2) The decision on non-completion or revoking lies with the Faculty Board.
- (3) Before any decision is taken, the doctoral candidate must be heard.

§ 19

Right to appeal

A candidate has the right to appeal against incriminatory decisions. The candidate can appeal to the Dean in writing or by recorded declaration within one month after being informed about the decision.

§ 20

Doctoral record

- (1) All documents collected or submitted during the doctoral examination constitute the doctoral record. During the examination period, the doctoral record of the candidate shall be kept in the Deanery.
- (2) Minutes shall be made of all sessions and decisions and must be duly signed by the Chair of the Degree Committee and included in the doctoral record.
- (3) After completion of the doctoral examination and upon written request, a candidate is allowed to see the doctoral record. The request to do so must be presented to the Dean within one year of the date of the defence or the date of the decision to abort the examination.

§ 21

Honorary doctorates

- (1) Upon consultation with the Senate, the Faculty has the right to award honorary doctorates for exceptional accomplishments in the academic subject areas of the Faculty.
- (2) A substantiated application to award an honorary doctorate must be submitted in writing by at least three professors from the Faculty. The Faculty Board decides about the award by secret vote upon consultation with the Senate.
- (3) The award of an honorary doctorate requires to issue and deliver a doctoral certificate signed by the Rector and the Dean, and to confer it in an appropriate and befitting manner. The certificate shall summarize why the award is being given and what the receiver's accomplishments are. The conferment ceremony shall be conducted by the Rector; the Rector may appoint the Dean.
- (4) The degree Doctor *honoris causa* may be withdrawn pursuant to § 19 sec. 1 if its holder has been finally convicted of a crime.

§ 22

Anniversary of the doctorate

If appropriate, the Faculty may honour the 50th anniversary of the doctorate award, with regard to exceptional academic accomplishments or a particularly close relationship between the Honouree and the Faculty or University of Leipzig. The Faculty chooses the occasion and type of ceremony. The Faculty Board decides on this matter by a majority of votes of the members present at the time of the vote.

§ 23

Transitional provisions

For doctoral examinations that started prior to the coming into effect of these regulations, the previous regulations may be applied upon request of the doctoral student.

§ 24

Coming into effect

- (1) These regulations for the degree of doctorate were adopted by the Board of the Faculty of Life Sciences on March 5th, 2018 and July 1st, 2019 and approved by the Rectorate on July 25th, 2019. They come into effect the day after publication in the University's bulletin.
- (2) Concurrently, these regulations replace and invalidate the regulations for the degree of doctorate at the Faculty of Biosciences, Pharmacy and Psychology dated April 29th, 2015.
- (3) All applications for examination entry submitted after the coming into effect of these regulations are without exception subject to these regulations.

Leipzig, September 30th, 2019

Professor Dr. Tilo Pompe
Dean of the Faculty of Life Sciences

Professor Dr. med. Beate A. Schücking
Rector

Attachment 1

Cover page for thesis submission

.....

.....

(title)

Der Fakultät für Lebenswissenschaften

der Universität Leipzig

eingereichte

D I S S E R T A T I O N

zur Erlangung des akademischen Grades

.....

(akademischer Grad)

.....

(Kurzform)

vorgelegt

von

(akademischer Grad, Vorname Name)

geboren am in

Leipzig, den

(Einreichungsdatum)

Attachment 2

Cover page for legal deposit

.....
.....
.....

(Titel)

Von der Fakultät für Lebenswissenschaften

der Universität Leipzig

genehmigte

D I S S E R T A T I O N

zur Erlangung des akademischen Grades

.....
(akademischer Grad)

.....
(Kurzform)

vorgelegt

von

.....
(akademischer Grad, Vorname Name)

geboren am in

Dekan:

Gutachter:

.....

Tag der Verteidigung

Anlage 3

Sample of Certificate

Universität Leipzig

(Traditionssiegel)

Unter dem Rektorat des Professors/der Professorin für

.....
(Name)

und dem Dekanat des Professors/der Professorin für

.....
.....
(Name)

verleiht die Fakultät für Lebenswissenschaften

Herrn/Frau

geboren am in

den akademischen Grad

.....
(Dr.)

für das Fachgebiet

nachdem in einem ordentlichen Promotionsverfahren
und der Dissertation über das Thema

.....
.....

seine/ihre wissenschaftliche Befähigung nachgewiesen wurde.

Für die Gesamtleistung wird das Prädikat

.....
erteilt.

Leipzig, den (Prägesiegel)

Der Rektor

Der Dekan